

STATUTORY FAIS DISCLOSURE STATEMENT ON PEREGRINE iQ (PTY) LTD

1. **Full business and trade name:** Peregrine iQ (Pty) Ltd
2. **FSP licence:**
 - 2.1. **Number:** 608
 - 2.2. **Licensed financial services:** Advice, intermediary service and discretionary FSP in respect of the following products:
 - Securities and instruments: shares, money market instruments, debentures and securitised debt, warrants, bonds, derivatives;
 - Deposits as defined in the Banks Act;
 - Participatory interest in one or more collective investment scheme
 - 2.3. **Conditions/restrictions:** Available on request
3. **Registration number:** 1999/015894/07
4. **Postal address:** PO Box 44586, Claremont, 7735
5. **Physical address:** 6th Floor, Letterstedt House, Newlands on Main, Newlands, 7700
6. **Telephone:** 021 670 4900
7. **Web site:** www.pq.co.za
8. **E-mail address:** info@pq.co.za
9. **Contact person:**
 - 9.1. **Name:** Tony Bell
 - 9.2. **Telephone:** 021 670 4994
 - 9.3. **E-mail:** tonyb@pq.co.za
10. **Compliance officer:**
 - 10.1. **Name:** Andrew Forde
 - 10.2. **Telephone:** 021 670 4996
 - 10.3. **E-mail:** andrewf@pq.co.za
11. **Professional indemnity cover:** R100m cover (Glenrand MIB Policy no. 03GPOM1708)
12. **FAIS exemptions:** None.

PO Box 44586, Claremont, 7735
6th Floor, Letterstedt House, Newlands on Main, Main Road, Newlands 7700, Cape Town
Tel: 021 670 4900 Fax: 021 683 5788 E-mail: info@pq.co.za Website: www.pq.co.za

13. **Resolution of complaints:**

- 13.1. All complaints are to be in writing and addressed to the compliance officer (details as per 10 above).
- 13.2. Once received by the compliance officer, these complaints will be noted in the complaints register.
- 13.3. An acknowledgement of receipt of the complaint will be forwarded to the client.
- 13.4. The compliance officer will consult with the relevant managers/employees to obtain facts surrounding the complaint.
- 13.5. If the complaint is resolved in favour of the client, the discretionary FSP will ensure that a full and appropriate level of redress is offered to the client without any delay.

